

RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Eberhard Jacob
Hans-Peter Mai

Execution Date(s) 4/14/2004

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☒ Other No Fee - Correction of PTO entry

2. Name and address of receiving party(ies)

Name: MAN Nutzfahrzeuge AG, Dachauer Strasse 667,
München, Germany D 80995

AND

Roth-Technik Austria Ges.m.b.H., Eisenwerk 14, St. Aegydt
a.N., A-3193, Austria

NOTE: both companies are listed on this page as the
second sheet was missed in the prior recordation

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s) 10/822,542

B. Patent No. (s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Robert W. Becker & Associates

Internal Address: _____

Street Address: 707 Hwy 66 East, Suite B

City: Tijeras

State: NM Zip: 87047

Phone Number: 505 286 3511

Fax Number: 505 286 3524

Email Address: mail@rwbecker.com

6. Total number of applications and patents involved:

one

7. Total fee (37 CFR 1.21(h) & 3.41).....\$ NR

- ☐ Authorized to be charged to credit card
☐ Authorized to be charged to deposit account
☐ Enclosed
☒ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit account number _____

Authorized User Name _____

9. Signature: Robert W. Becker
Signature

Robert W. Becker

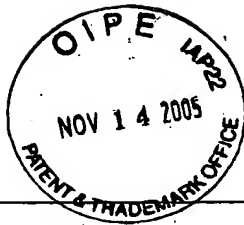
Name of Person Signing

FAXED
August 22, 2005
Date

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6

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOVEMBER 01, 2004

PTAS

ROBERT W. BECKER & ASSOCIATES
ROBERT W. BECKER
707 HIGHWAY 66 EAST
SUITE B
TIJERAS, NM 87059



102742867A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/05/2004

REEL/FRAME: 015308/0954
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
JACOB, EBERHARD

DOC DATE: 04/14/2004

ASSIGNOR:
MAI, HANS-PETER

DOC DATE: 04/14/2004

ASSIGNEE:
MAN NUTZFAHRZEUGE AG
DACHEUER STRASSE 667
MUNCHEN, FED REP GERMANY 80995

SERIAL NUMBER: 10822542 ✓
PATENT NUMBER: _____

FILING DATE: 04/12/2004
ISSUE DATE: _____

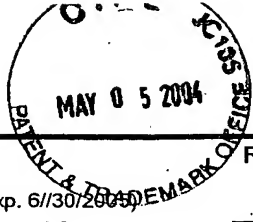
TITLE: COMBINATION EXHAUST GAS POST TREATMENT/MUFFLER DEVICE IN THE
EXHAUST GAS SECTION OF AN INTERNAL COMBUSTION ENGINE

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015308/0954 PAGE 2

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05-12-2004

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(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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RECOF



102742867

DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Eberhard Jacob
Hans-Peter Mai

S.S. 04

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 4/14/2004

2. Name and address of receiving party(ies)

Name: MAN Nutzfahrzeuge AG

Internal Address: _____

Street Address: Dachauer Strasse 667

City: München State: GERMANY Zip 80995

Additional Name(s) & address(es) attached? ☒ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 10/822,542

B. Patent No. (s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert W. Becker

ROBERT W. BECKER & ASSOCIATES

Internal Address: _____

Street Address: 707 Highway 66 East

Suite B

City: Tijeras State: NM Zip: 87059

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

Please charge additional fees to Acct. 02-1653

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert W. Becker

Name of Person Signing

Robert - Becker

Signature

5/5/2004

Date

"Express Mail" Mailing Label Number: EL 973 775 151 US

Date of Deposit: May 5, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, Virginia, 22313-1450.

Rosalie A. Centeno

Rosalie A. Centeno, Secretary

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:
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05/07/2004 HTE: KLU1 00000036 10822542

03 FC:0021

40.00 0P

2. Name and address of receiving party(ies)

Roth-Technik Austria Ges.m.b.H.
Eisenwerk 14
St. Aegyd a.N., A-3193
Austria

COPY

Attorney Docket
TDP1.3414

ASSIGNMENT

WHEREAS we, Eberhard JACOB and Hans-Peter MAI, have invented an improvement in
COMBINATION EXHAUST GAS POST TREATMENT/MUFFLER DEVICE IN THE
EXHAUST GAS SECTION OF AN INTERNAL COMBUSTION ENGINE
for which an application for Letters Patent of the United States

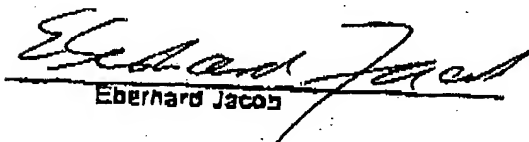
~~XX is being made known~~

XX was filed on 4/12/04 under Serial No. 10/822,542

AND WHEREAS MAN Nutzfahrzeuge Aktiengesellschaft, Dachauer Strasse 567 D-
80995 München, Germany AND Roth-Technik Austria Ges.m.b.H., Eisenwerk 14 A-3193
St. Aegyd a.N., Austria, is desirous of acquiring an equal interest in the same;

Now, therefore, in consideration of the foregoing and for other good and valuable consideration, the receipt of which is hereby acknowledged, we, Eberhard Jacob and Hans-Peter Mai, hereby assign to the said MAN Nutzfahrzeuge Aktiengesellschaft AND Roth-Technik Austria Ges.m.b.H. its assigns and legal representatives, all the right, title and interest in and to said invention for the United States and its territories, and in and to said Letters Patent, continuations, divisions, reissues and extensions thereof in the United States, and hereby request that said Letters Patent issue accordingly. For said considerations, we, Eberhard Jacob and Hans-Peter Mai, agree to execute all papers that may be necessary to file applications in the United States for said invention and to assign the same to said MAN Nutzfahrzeuge Aktiengesellschaft AND Roth-Technik Austria Ges.m.b.H. or any assignee acquiring title to said invention and to execute any other papers that may be required in connection with filing said applications and securing patents thereon.

In Testimony whereof, this assignment is being executed this 14 day of
April 2004.


Eberhard Jacob


Hans-Peter Mai

15/04 '04 09:17

SE/EM NR. 0030

502

200

RANG VIN

GESAMT SEITEN 06

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ACTIVITY REPORT

TIME : 08/22/2005 17:32
 NAME : ROBERT BECKER
 FAX : 15052863524
 TEL : 15052863511
 SER. # : BROA3J954092

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BUSY: BUSY/NO RESPONSE
 NG : POOR LINE CONDITION / OUT OF MEMORY
 CV : COVERPAGE
 POL : POLLING
 RET : RETRIEVAL

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